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**Form PTO-1449** (modified)  
List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)

ATTY. DOCKET NO: 5150-18301

SERIAL NO: 09/456,892

APPLICANT: Parthasarathy et al.

FILING DATE: December 7, 1999

GROUP: 2124

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)****RECEIVED****HP VEE**

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F1 "HP VEE Reference" manual, Edition 3, September 1993, 686 pgs.

F2 "How to Use HP VEE" manual, Edition 1, January 1995, 302 pgs.

F3 "HP VEE Reference" manual, Edition 4, January 1995, 771 pgs.

F4 Robert Helsel, "Cutting Your Test Development Time with HP VEE", 1994, 444 pgs.

F5 "HP VEE Advanced Programming Techniques", Edition 4, May 1998, 376 pgs.

F6 Robert Helsel, "Graphical Programming A Tutorial for HP VEE", 1995, 429 pgs.

F7 "HP VEE 3.0 The Most Productive Language for Test &amp; Measurement", 1995, 23 pgs.

F8 "HP VEE 4.0 Visual Programming Language", 1995, 70 pgs.

**IBM VISUALAGE**

F9 Bitterer et al. "Visual Age and Transaction Processing in a Client/Server Environment", 1996, 369 pgs.

F10 "Developing Distributed Object Applications with VisualAge Smalltalk and SOMobjects, February 1996, 251 pgs.

F11 Stewart McKie, "DBMS - The IBM Enterprise", April 1996, 8 pgs.

F12 Dan Rogers, "VisualAge C++ 3.5 for Windows, October 1996, 6 pgs.

F13 Fang et al., "VisualAge for Smalltalk and SOMobjects, Developing Distributed Object Applications", 1997, 305 pgs.

F14 Marc Carrel-Billiard et al., "Programming with VisualAge for C++ for Windows", 1997, 598 pgs.

F15 "VisualAge for Smalltalk User's Reference, Version 3, Release 0, Third Edition Nov. 1995, 529 pgs.

**LABVIEW**

F16 "LabVIEW for Windows, User Manual", Dec. 1993, 434 pgs.

F17 "LabVIEW Code Interface Reference Manual", Dec. 1993, 249 pgs.

F18 "LabVIEW Code Interface Reference Manual", Sept. 1994, 266 pgs.

F19 "LabVIEW Code Interface Reference Manual", Jan. 1996, 163 pgs.

F20 "LabVIEW Networking Reference Manual", Dec. 1993, 85 pgs.

F21 "LabVIEW Networking Reference Manual", Sept. 1994, 145 pgs.

F22 "LabVIEW Communications VI Reference Manual", Jan. 1996 Edition, 166 pgs.

F23 "LabVIEW for Tutorial", August 1993, 204 pgs.

F24 "LabVIEW for Windows Tutorial", Sept. 1994, 230 pgs.

F25 "LabVIEW Tutorial Manual", January 1996 Edition, 246 pgs.

F25A "LabVIEW Utility Reference Manual" November 1992, 66 pgs.

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**Miscellaneous**

- F26 "Dr. Dobb's Journal", 1994, 7 pgs. Plus Joseph Firmage, "Novell's AppWare Distributed Bus Extending a Powerful Event Engine Across the Network, 1994, 11 pgs.
- F27 Rijinders et al., "Versatile Visual Programming Environment for Scientific Applications", 1991, 6 pgs.

**ICON AUTHOR**

- F28 "Software Reviews", Volume 8, September 1993, 16 pgs.
- F29 "Icon Author Reference Manual", Version 7.0, Nov. 1995, 601 pgs.
- F30 "Icon Author User Manual", Version 7.0, Nov. 1995, 382 pgs.
- F31 "Icon Author Getting Started", Version 7.0, Nov. 1995, 205 pgs.

**MAX**

- F32 "Max and Programming", **NO DATE**, 12 pgs.
- F33 "IRCAM DSP Software for DEC/ALPHA and DEC/MIPS", Aug. 1993, 3 pgs.
- F34 "A Brief History of MAX", 1998, 3 pgs.
- F35 Miller Puckette, "Combining Event and Signal Processing in the MAX Graphical Programming Environment", 1991, 16 pgs.

**MS OLE**

- F36 Charles Petzold, "Windows 3.1 - Hello to TrueType, OLE, and Easier DDE; Farewell to Real Mode", 12 pgs.
- F37 "MSI Operating System Sensation", downloaded from web 5/9/03, 5 pgs.

**Novell**

- F38 Jon Udell, "Novell's Campaign - The Vision: a billion connected users and device 2000. The Plan reinvent its operating systems, p services", Feb. 1995, 15 pgs.

**Oberon Prospero**

- F40 "Oberon Prospero, User's Guide, Getting Started", Release 1.1, May 1996, 224 pgs.
- F41 Joseph Williams, "DBMS, Prospero 1.1, Sept. 1996, 6 pgs.
- F42 "Application Extensions" Release 1.5, Dec. 1996, 151 pgs.

**OOP**

- F43 "HP VEE, The Most Productive Language for Test & Measurement", 1995, 25 pgs.

**SPW**

- F44 "Alta Group Announces CONVERGENCE Simulation Architeure Supporting Full-System, Mixed-Level Verification", Oct. 24, 1995, 3 pgs.
- F45 The Alta Outlook, A custom newsletter for DAC 1996, 34 pgs.
- F46 SPW Product Datasheets and articles, Berkeley Design Technology, Inc., 1995, 47 pgs.
- F47 SPW Product Datasheets, 1994-1996, 181 pgs.

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**SmallTalk**

F48 James Carroll, "Smalltalk-based Visual Programming Tools", 1995, 17 pgs.

F49 "HP ORB Plus and Distributed SmallTalk", Sept. 1993, 3 pgs.

**VIPERS**

F50 Bernini et al., VIPERS: A Data Flow Visual Programming Environment Based on the Tcl Language", 1994, 3 pgs.

**Digitalk PARTS - VSE**

F51 "PARCPLACE-DIGITALK Announces Availability of PARTS(R) for JAA(TM), Ships \$1.2 Million in Product During First Week", July 22, 1996, 2 pgs.

F52 Digitalk List of Books, 1986-1994, 1 pg.

F53 "Smalltalk V, 32-Bit Object-Oriented Programming System Tutorial", version 3.0, Sept. 1994, 203 pgs.

F54 "1995 Digitalk PARTners Catalog", 1994, 40 pgs.

F55 "Distributed Smalltalk Programmer's Reference" Visual Works, 1996, 159 pgs.

F56 "Distributed Smalltalk User's Guide", Visual Works, 1996, 191 pgs.

F57 "Part Reference", VisualSmalltalk Enterprise, 1995, 629 pgs.

F58 "Workbench User's Guide", VisualSmalltalk Enterprise, 1995, 227 pgs.

F59 "User's Guide", VisualSmalltalk Enterprise, 1995, 181 pgs.

F60 "Parts Wrapper for CICS, User's Guide", VisualSmalltalk Enterprise 1996, 112 pgs.

F61 "Tools Reference Manual", VisualSmalltalk Enterprise, 1995, 351 pgs.

F62 "PARTS Workbench Reference Manual", Version 3.0, VisualSmalltalk Enterprise, 1994, 439 pgs.

F64 "Tutorial", VisualSmalltalk Enterprise, 1995, 338 pgs.

F65 "Parts Wrapper for CICS/COBOL/EHLLAPI/Relational Databases", 1996, 4 pgs.

F66 Deborah Asbrand, "IMCO Uses Common Sense in Shift to Client/Server", April 1995, 2 pgs.

F67 "Smalltalk Moves into the Fast Lane", April 1995, 1 pg.

F68 "VisualSmalltalk Enterprise", 1995, 4 pgs.

F69 "Parts Wrapper for Relational Databases", May 1995, 1 pg.

F70 Bill Lazar, "Visual Smalltalk Enterprise 3.0", May 1995, 4 pgs.

F71 Brent Whitmore, "Windows-Based Smalltalk Products Go Head to Head", 1995, 6 pgs.

F72 Cate T. Corcoran, "Power Company Juices Up Its Customer Service", Feb. 1995, 2 pgs.

F73 David Linthicum, "Digitalk PARTS", Feb. 1995, 2 pgs.

F74 Rebecca Wirfs-Brock, "How Designs Differ", Dec. 1994, 4 pgs.

F75 Thomas Hoffman, "Capitalizing on Client/Server", Feb. 1995, 2 pgs.

F76 Richard A. Danca, "One Bank's Approach to IT: Standard - But Not Stodgy", Aug. 1994, 4 pgs.

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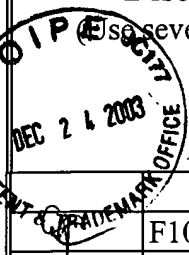
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| F77       | David Linthicum, "Smalltalk: Getting the Message to IS Developers", May 1994, 4 pgs.                   |
| F78       | Dan Richman, "A Utility Company's New Source of Power", April 1994, 2 pgs.                             |
| F79       | Brown et al., "Sizing up the Smalltalks", Oct. 1994, 6 pgs.  |
| F8F8<br>1 | "The Gospel According to OOP", March 1993, 4 pgs.  |
| F82       | "Component-Based Development", April 1993, 4 pgs.  |
| F83       | John W. Verity, "Finally, The Buzz is About Smalltalk", April 1993, 2 pgs.                             |
| F84       | DelRossi et al., PARTS Workbench for Win32 2.0, Elegant and Innovative", Feb. 1994, 4 pgs.             |
| F85       | "New Power Source at Utility", Feb. 1995, 1 pg.  |
| F86       | Tibbetts et al., "Objects for the Enterprise", 1996, 1 pg.   |
| F86       | "HP Distributed Smalltalk User's Guide", 5 <sup>th</sup> Edition, Oct. 1995, 284 pgs.                  |
|           | <b>Paragon TNT</b>   |
| F87       | Robert C. Waterbury, "Software Trends Lead to Control Forefront", June 1994, 4 pgs.                    |
| F88       | "Paragon ActiveX Controls Manual", 1997, 280 pgs.  |
| F89       | "Paragon TNT Reference Manual", 1996, 969 pgs.   |
| F90       | "Paragon TNT OLE Support Guide", 1996, 209 pgs.  |
| F91       | "Paragon TNT User's Guide", 1996, 544 pgs.   |
|           | <b>ParcPlace-Small Talk</b>  |
| F92       | Cunningham et al, "An object-oriented implementation of a graphical-programming system", 1994, 11 pgs. |
|           | <b>ProGraph CPX - Some Pictorius</b>   |
| F93       | Steinman et al. "Visual Programming with Prograph CPX", 1995, 418 pgs.                                 |
| F94       | Press Release, "HP ORB Plus and Distributed SmallTalk", 3 pgs.   |
| F95       | Raymond Cote, "Prograph CPX: Purely Visual", Jan. 1995, 7 pgs.   |
| F96       | "The Power of Prograph CPX", need date, 6 pgs.   |
| F97       | Philip T. Cox, "Cross-Platform Application Development with Prograph CPX", need date, 6 pgs.           |
| F98       | John Shackelford, "Using Shared Libraries in CPX", need date, 13 pgs.                                  |
| F99       | CPX, ABC Reference, Feb. 1996, 526 pgs.  |
| F100      | Prograph CPX for Windows website, 16 pgs.  |
| F101      | Visual Programming with Prograph CPX Publication Details from website                                  |
| F102      | Prograph CPX for the Macintosh, 3 pgs.   |
|           | <b>Pictorius</b>   |
| F103      | Rapid Application Development (RAD) with Entrada! Developer and Entrada! Corporate, 7 pgs.             |
| F104      | Press releases, 1996, 49 pgs.  |
| F105      | The Pictorius Net Servers Mailing List, 13 pgs.  |
| F106      | Brett Kottmann, Software Review, Pictorius iNet Developer 2.0, 1996, 7 pgs.                            |
| F107      | MacWeek Articles, 05/13/1996, 57 pgs.  |

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| <b>PSOS</b>  |  |  |                         |                       |  |
| F108   |  | PSOS CORBA Presentation, 1997, 10 pgs.   |                         |                       |  |
|  |  | <b>Miscellaneous</b>   |                         |                       |  |
| F109   |  | Software, Flowcharter 4.0 by Micrografx, 1995, 3 pgs.  |                         |                       |  |
| F110   |  | Susan Coote, "Graphical and Iconic Programming Languages for Distributed Process Controls An Object Oriented Approach", IEEE, 1988, 4 pgs. |                         |                       |  |
| F111   |  | The History of Visual Basic, Paraphrased from the Visual Basic Programmer's Journal, Feb. 2000, pgs.                                       |                         |                       |  |
| EXAMINER: <i>Chen, J.</i>  |  |  | DATE CONSIDERED: 3-5-04 |                       |  |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner. |  |  |                         |                       |  |

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